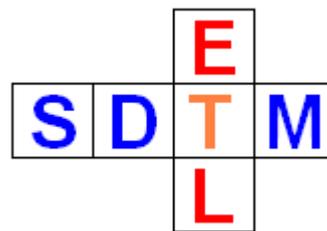


SDTM-ETL 4.x User Manual and Tutorial

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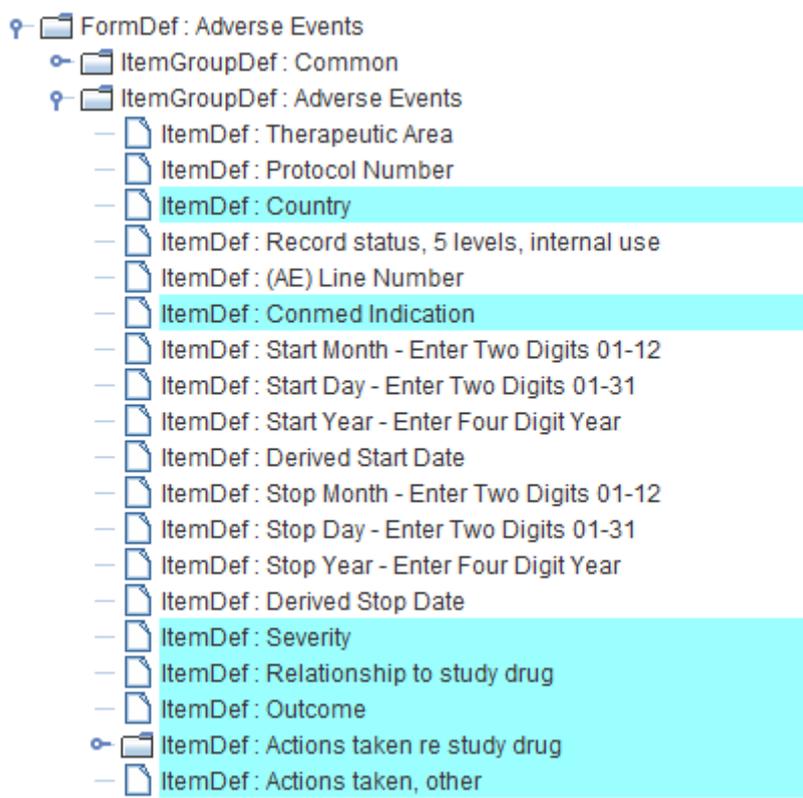
Last update: 2022-06-22



Creating mappings for the AE domain

Now that we have created (and executed) mappings for several domains, let us create a mapping for the Adverse Events (AE) domain. If we take a look at our ODM study setup, we notice that there is an “Adverse Events” form, which is used (optionally) in the second visit.

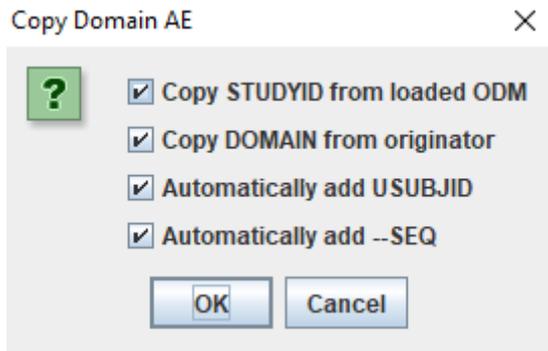
Usually, Adverse Event forms can be used in any visit, so we will do as if there were more visits defined in this study, and allow Adverse Event forms to have been used in any of the visits (StudyEvents).



A further look at the Adverse Event form shows that it is only partially suited for mapping with the SDTM. Ideally, the CDASH¹ AE form should have been used, as it has an (almost) 1:1 mapping with the SDTM AE domain, but at the time of the current study design, this CDASH form was not available yet. This will happen often in the case of legacy studies.

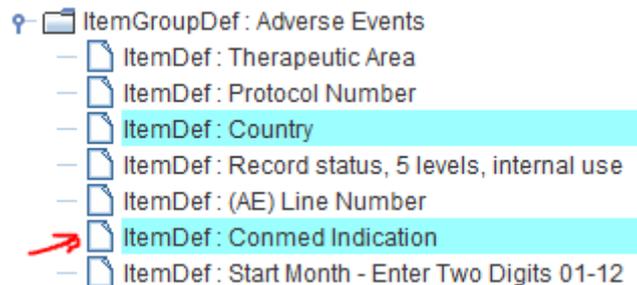
To start, drag-and-drop the AE row in the SDTM table to the bottom of the table, this creating a study-specific instance of the AE domain. Accept the defaults for automatic generation of mappings for STUDYID, DOMAIN, USUBJID and AE.AESEQ:

¹See the CDISC website at <http://www.cdisc.org/standards/cdash/index.html>



TI	STUDYID	DOMAIN	TI.IETESTCD	TI.IETEST	TI.IECAT
TS	STUDYID	DOMAIN	TS.TSSEQ	TS.TSGRPID	TS.TSPARMCD
RELREC	STUDYID	RDOMAIN	USUBJID	IDVAR	IDVARVAL
SUPPQUAL	STUDYID	RDOMAIN	USUBJID	IDVAR	IDVARVAL
RELSUB	STUDYID	USUBJID	RELSUB.POOLID	RELSUB.RSUB...	RELSUB.SREL
OI	STUDYID	DOMAIN	OI.NHOID	OI.OISEQ	OI.OIPARMCD
MyStudy:AE	STUDYID	DOMAIN	USUBJID	AE.AESEQ	AE.AEGRPID

The SDTM Implementation Guide tells us that we should have one record per adverse event per subject. We can translate this into "One record per AE.AETERM per USUBJID", this as each ODM record has an "IT.AETERM" Item with the name "Conmed Indication" in the form „Adverse Events". Please note that also other choices are possible, as long as the choice ensures that there is one record per AE form/subform used. We do however choose for AETERM as it is the SDTM topic variable.

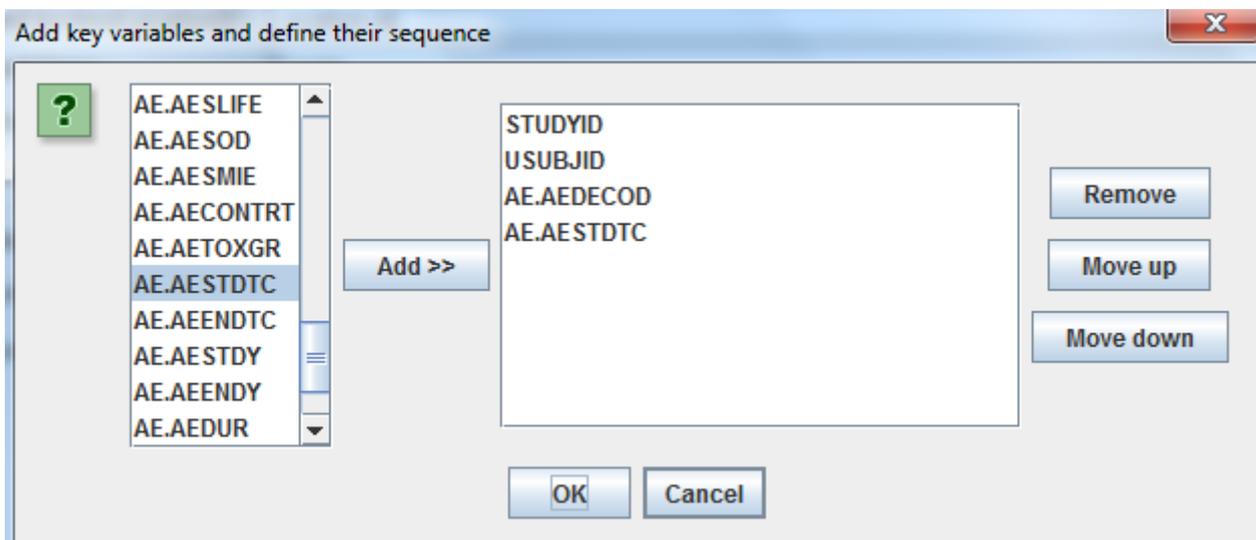


Double click the first cell in our newly created row ("MyStudy:AE"). The Domain Editor panel shows up:

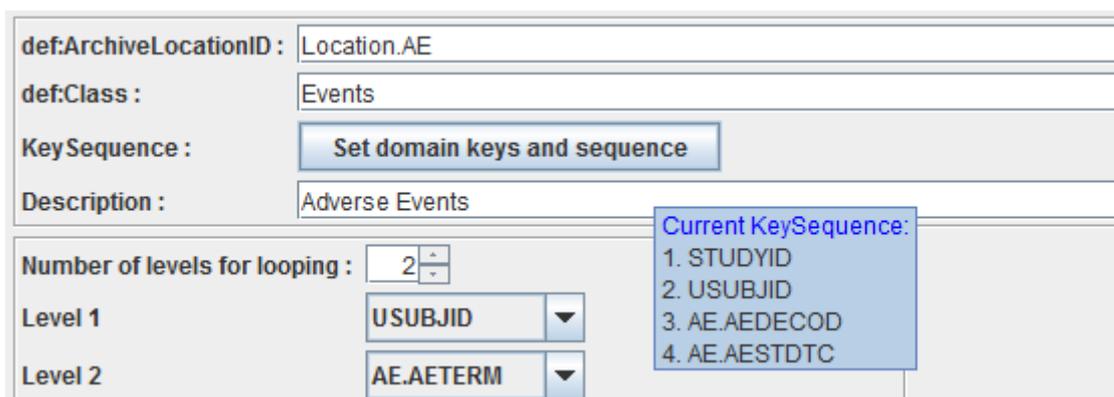
We select two levels of looping, the second being AE.AETERM.

If we use define.xml 2.0 or 2.1, there is also a button „Set domain keys and sequence". Clicking it pops up the dialog:

You can now add some variables using the „Add>>“ button and change the order with the „Move up“ and „Move down“ buttons. In earlier days, the SDTM-IG gave some suggestions for the keys, but most sponsors just copied these without further thinking². You might e.g. choose for:



The next time you will edit the domain properties and hold the mouse over the button, you will find:

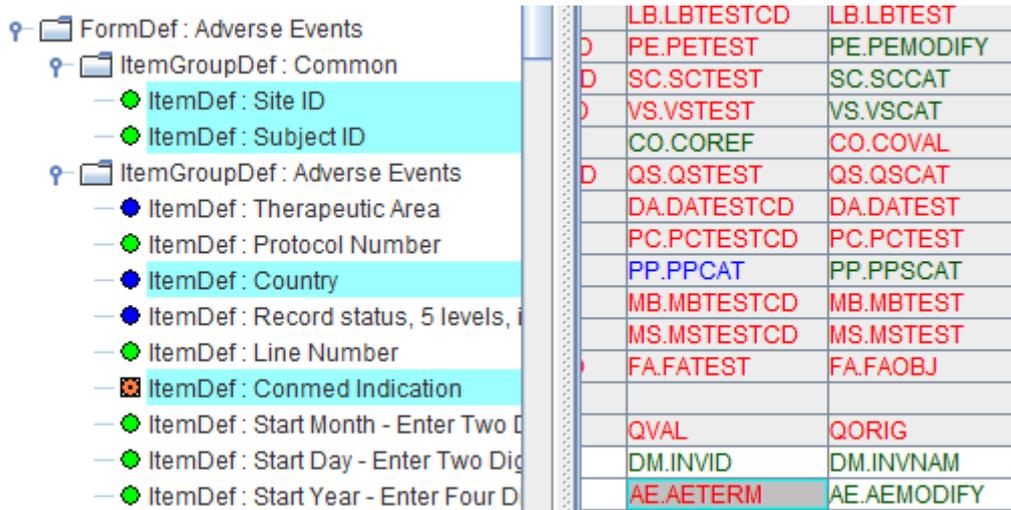


After having edited the domain properties, we can start with the mappings.

We do already have a mapping for USUBJID (automatically generated), so we must still generate a mapping for AE.AETERM. It is always good practice to first develop mappings for those SDTM variables that are looping variables (usually that is the topic variable), so let us do this one first. As already stated the ODM has an Item "Conmed Indication" with OID "IT.AETERM". When we click in the SDTM cell for AE.AETERM, we even see that this Item is a "hot candidate" for the mapping (meaning that it has an annotation that its SDTM target³ is "AETERM"):

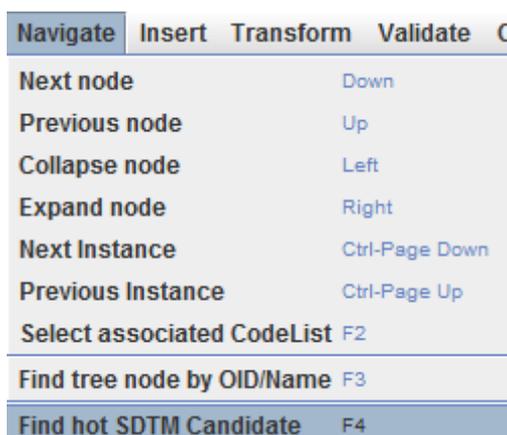
²It is however also not clear whether the FDA is doing anything with this information! The define.xml 2.0 specification states that adding domain keys in the context of a regulatory submission. To our knowledge, the FDA is not loading submission datasets in a database, so we wonder why these keys are necessary...

³In the ODM, this is either accomplished by the „SDSVarName“ attribute on ItemDef, or by an „Alias“ element having „SDTM“ for the „Context“ attribute.

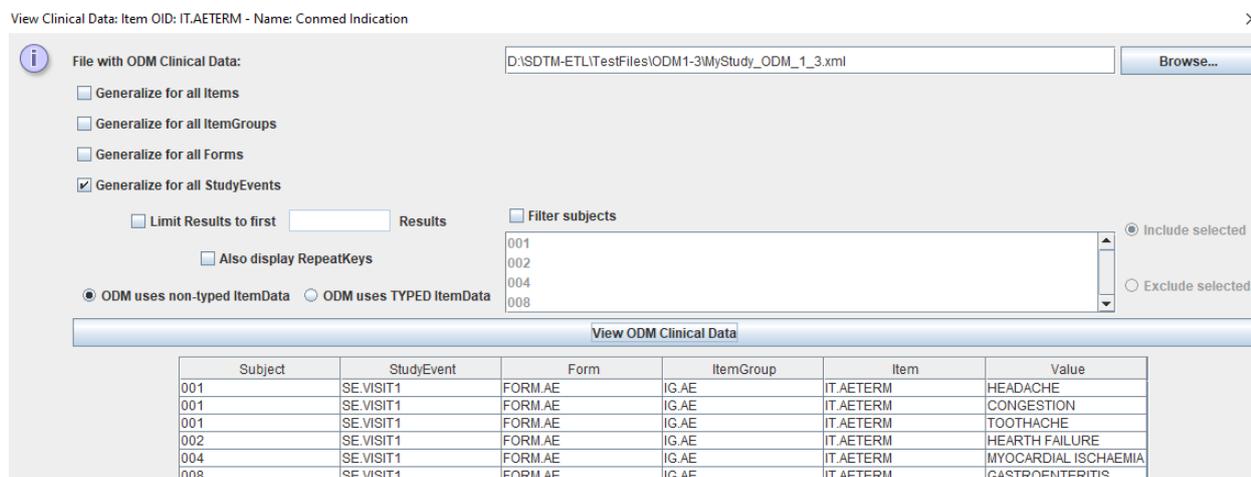


The square around the „traffic light" indicating that the item is a „hot candidate" for the SDTM variable „AETERM".

If the item in the tree is not visible yet, we also can always find the „hot candidate" using the menu „Navigate – Find hot SDTM Candidate,,:

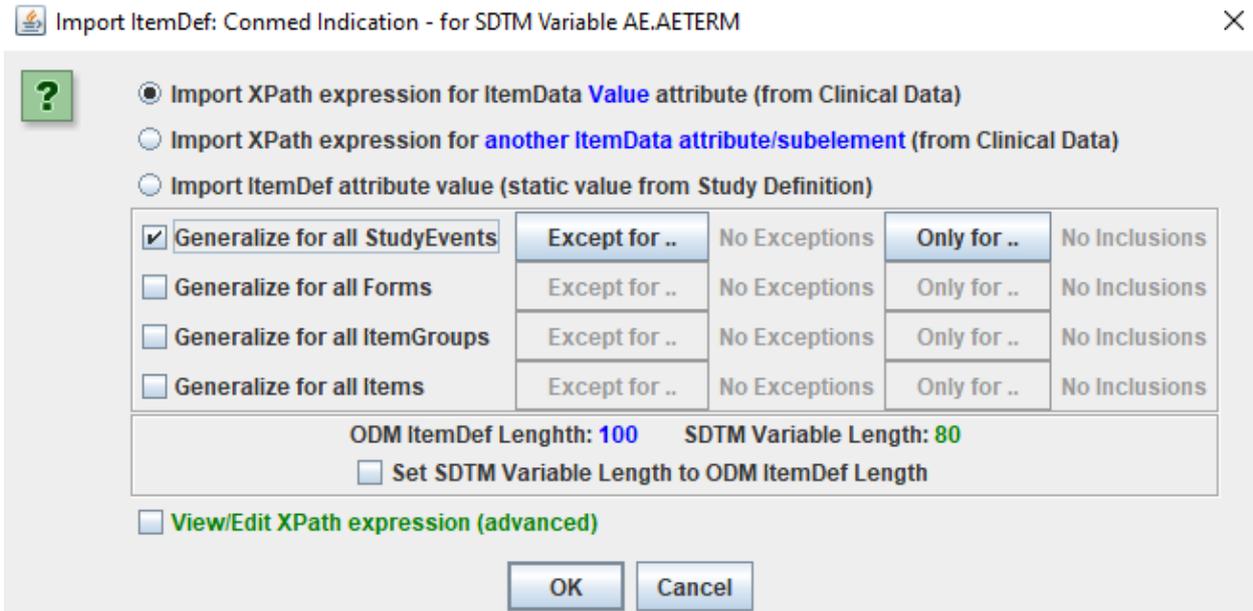


To verify whether this really is the information that needs to go into AETERM, after selecting "Conmed Indication", we can also use the menu "View - ODM Clinical Data", e.g. leading to:



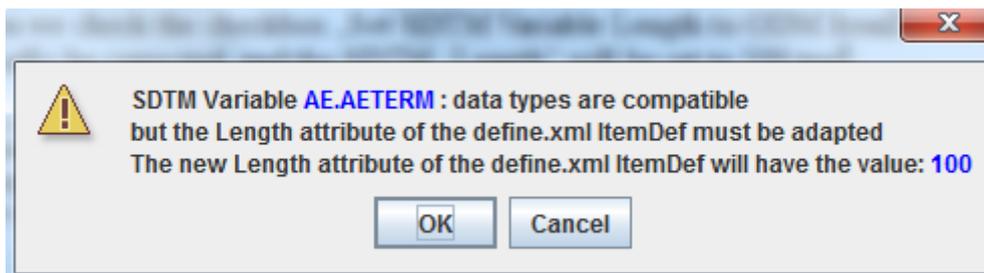
Just drag-and-drop the Item „Conmed Indication" from the left side to the cell "AE.AETERM". The

following dialog shows up:



We accept the default that will retrieve the value from the clinical data („ItemData **Value** attribute") As we want to take care that AE forms from all visits are taken into account (although in this study it is only used in the second visit, as there are only two visits), we need to check the checkbox "Generalize for all StudyEvents". Later we will learn how to be more precise which visits exactly must be selected (unimportant in this case) using the „Except for ..." and „Only for ..." buttons. We are also warned that in the ODM, the value for „Conmed Indication" may be up to 100 characters long, whereas our define.xml template has a default of max. 80 characters for this variable. When we check the checkbox „Set SDTM Variable Length to ODM ItemDef Length", this will automatically be corrected, and the SDTM „Length" will be set to 100⁴.

When clicking OK, this correction is again confirmed:



The transformation script is now automatically generated:

⁴It is NOT a good idea to set the length for all variables to the SAS-XPT maximum of 200, as this will generate extremely large SAS-XPT data sets that the FDA cannot handle. Also, setting a correct maximum length will help generating an optimized database (see "Generating an SDTM database")

```

Mapping Description
SDTM-ETL mapping for AE.AETERM

The Transformation Script
# Mapping using ODM element ItemData with ItemOID IT.AETERM
# Generalized for all StudyEvents
$AE.AETERM = xpath (/StudyEventData/FormData [@FormOID='FORM.AE']/ItemGroupData [@ItemGroupOID='IG.AE']/Item

```

A "mapping description" is automatically created, which you might want to adapt. The information in it will go into the „Description" element of the corresponding „MethodDef" element in the underlying define.xml (in case define.xml 2.0 is used).

We can test it using the button "Test – Transform to XSLT". We get something like:

```

27
28 <xsl:template match="/">
29 <xsl:for-each select="//odm:SubjectData">
30 <xsl:message>Subject = <xsl:value-of select="@SubjectKey"/></xsl:message>
31 <!-- Mapping using ODM element ItemData with ItemOID IT.AETERM -->
32 <!-- Generalized for all StudyEvents -->
33 <xsl:variable name="AE.AETERM" select="./odm:StudyEventData/odm:FormData[@FormOID='FORM.AE']/odm:ItemGroupData[@ItemGr
34
35 <xsl:message>AE.AETERM = <xsl:value-of select="$AE.AETERM"/></xsl:message>
36 </xsl:for-each>
37 </xsl:template>
38 </xsl:stylesheet>

```

Subject = 001
AE.AETERM = HEADACHE CONGESTION TOOTHACHE
Subject = 002
AE.AETERM = HEARTH FAILURE
Subject = 003
AE.AETERM =
Subject = 004
AE.AETERM = MYOCARDIAL ISCHAEMIA
Subject = 005
AE.AETERM =
Subject = 006
AE.AETERM =
Subject = 007
AE.AETERM =
Subject = 008
AE.AETERM = GASTROENTERITIS
Subject = 009
AE.AETERM =
Subject = 010

Validate XSLT Test XSLT on ODM Clinical Data File with ODM Clinical data contains the MetaData
 File with ODM Clinical data contains the AdminData

OK

after having used the „Test XSLT on ODM Clinical Data" button and having provided a file with clinical data for this study. We also see that some subjects do not have any adverse events (e.g. subjects 005, 006, 007). When later executing the mappings, no AE records will be generated for these subjects.

Define.xml 2.0 also requires to provide the „Origin" of each SDTM variable when the context is a regulatory submission. To add it for AETERM, use the menu „Edit – SDTM Variable Properties" and then look for the checkbox "Edit Origin":

OID:	AE.AETERM
Name:	AETERM
SASFieldName:	AETERM
Data type:	text
Current Length:	100
<input type="checkbox"/> New Length:	100
Current Significant Digits:	
<input type="checkbox"/> New Significant Digits:	-1
Current Role:	Topic
<input type="checkbox"/> New Role	Topic
Current Role CodeList:	
<input type="checkbox"/> New Role CodeList	CL.MEDDRA - MedDRA Adverse Events Dictionary (text)
Current Origin:	NONE DEFINED YET
<input type="checkbox"/> Edit Origin:	Edit
Comment:	
External document for comment	
Current CodeList	NO CODELIST ASSIGNED
<input type="checkbox"/> New CodeList:	Select CodeList
Description:	Reported Term for the Adverse Event
current def:DisplayFormat:	
<input type="checkbox"/> New def:DisplayFormat:	
current ValueList OID:	NO VALUELIST ASSIGNED
<input type="checkbox"/> New ValueList OID	NO VALUELIST

OK Cancel

You can now add the "Origin" by checking the checkbox followed by clicking the "Edit" button⁵. This gives:

Designing/Updating Origin for Item: AETERM

?	Origin type:
	<input checked="" type="radio"/> Assigned
	<input type="radio"/> Protocol
	<input type="radio"/> Derived
	<input type="radio"/> Electronic Data Transfer
	<input type="radio"/> CRF
	Document (leaf) ID:
	No def:leaf elements have been defined yet
	<input type="radio"/> No page details
	<input type="radio"/> Page list (physical reference)
	<input type="radio"/> Named destinations
	Page list / List of named destinations
	<input type="text"/>
	<input type="radio"/> Page range: first page - last page
	First page: <input type="text"/>
	Last page: <input type="text"/>

OK Cancel

In case you did not assign an "annotated CRF", you are notified and you will need to first assign an "annotated CRF" using the menu "Insert - Link to Annotated CRF".

⁵In case of Define-XML 2.1, you will get a slightly different dialog.

Add Annotated CRF link ×

? Browse...

Title:

Leaf ID:

OK Cancel

You can use the "Browse" button to provide the link to the annotated CRF, and you should provide a title. For example:

Add Annotated CRF link ×

? Browse...

Title:

Leaf ID:

OK Cancel

We can then go back to "Edit - SDTM Variable Properties", and use "Edit Origin"
In this case, it is clear that the origin is „CRF", so we need to check that checkbox:

Designing/Updating Origin for Item: AETERM ×

? Origin type:

- Assigned
- Protocol
- Derived
- Electronic Data Transfer
- CRF

Document (leaf) ID:

- No page details
- Page list (physical reference)
- Named destinations

Page list / List of named destinations

- Page range: first page - last page

First page:

Last page:

OK Cancel

and also add the page number (or a list of blank-separated values) to the field "Page list":

Designing/Updating Origin for Item: AETERM X

 Origin type:

Assigned
 Protocol
 Derived
 Electronic Data Transfer
 CRF

Document (leaf) ID:

No page details
 Page list (physical reference)
 Named destinations

Page list / List of named destinations

Page range: first page - last page
 First page:
 Last page:

If you do not have an annotated CRF in PDF format yet, you can of course skip this step, and add the information later.

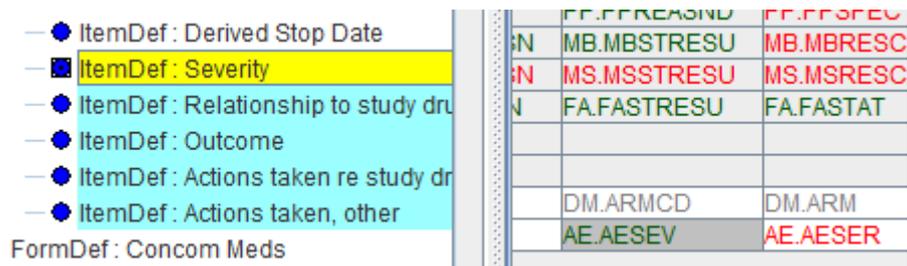
The second SDTM variable we want to develop a mapping for is AE.AEDECOD ("Dictionary-derived term", usually the MedDRA preferred term). As we haven't got anything better, we just use the same mapping as for AE.AETERM for the moment⁶.

The "CDISC Notes" (use CTRL-H to see them) tell us that the sponsor need to give the dictionary name and version in the "Comments" column in the define.xml document. This can easily be done by selecting the AE.AEDECOD cell, and then use the menu "Edit -> SDTM Variable Properties". We can then add the information in the input field for "Comment":

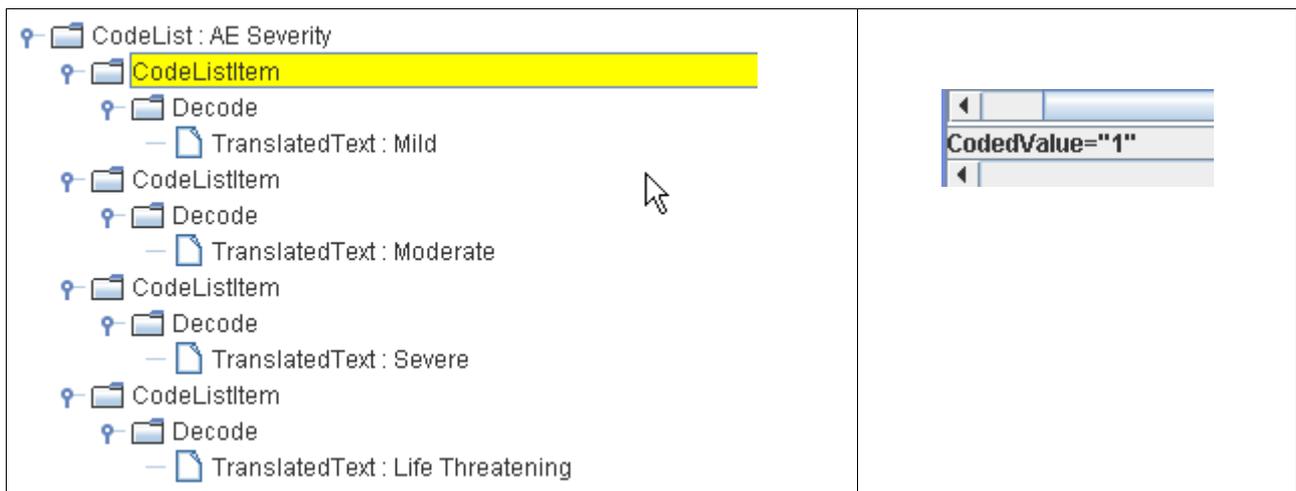
<p>Current Origin:</p> <p><input type="checkbox"/> New Origin:</p> <p>Comment:</p>	<p>NONE DEFINED YET</p> <p style="text-align: center;">Edit</p> <p>the dictionary name and version go here</p>
---	--

The next SDTM variable we can develop a mapping for is the variable AE.AESEV (Severity/Intensity). When we select the cell, we see that there is an ODM "hot candidate" IT.AESEV ("Severity"), which is however governed by a codelist.

⁶If you have a MedDRA database or tool, we can help you generating a connection to it for mapping purposes.



As indicated by the square around the "traffic light" and the blue color of it (indicating there is an associated codelist). To see the details of the codelist at the ODM side, select the Item "Severity" and then use the menu "Navigate -> Select associated CodeList" (Ctrl-F2). The selection jumps to the associated CodeList in the ODM tree and expands its tree node:



We observe that there are four possibilities with coded values "1", "2", "3" or "4" (these can be seen in the status field at the bottom when selecting a "CodeListItem", and decoded values "Mild", "Moderate", "Severe" and "Life Threatening").

The SDTM-IG tells us that there is controlled terminology for AESEV and provides a reference to the NCI website. There we can find that there is a codelist „CL.C66769.AESEV" which however only has 3 allowed values: „MILD", „MODERATE" and „SEVERE". It also states that the codelist is non-extensible. Unfortunately the SDTM-IG is also not very clear on whether one must use that codelist. It states: "The severity or intensity of the event. Examples: MILD, MODERATE, SEVERE". Especially, the wording "Examples" is confusing.

We now have the choice between two approaches:

a) map the 4 possible values from the study design to the three values of the "recommended" SDTM-IG codelist with only 3 values. If we do so, we should document this in the "reviewers guide" but also in the "annotated CRF"

b) copy our own "SEVERITY" codelist from the ODM (study design) to the SDTM, and use that.

We will use the first approach (just as an example⁷).

Now just drag-and-drop the ItemDef "Severity" to the SDTM cell "AE.AESEV". The following dialog shows up:

⁷In such cases, the mapper should decide himself / herself which of the 2 approaches is most appropriate

Import ItemDef: Severity - for SDTM Variable AE.AESEV

Import XPath expression for ItemData Value attribute (from Clinical Data)

Import XPath expression for another ItemData attribute/subelement (from Clinical Data)

Import ItemDef attribute value (static value from Study Definition)

<input checked="" type="checkbox"/> Generalize for all StudyEvents	Except for ..	No Exceptions	Only for ..	No Inclusions
<input type="checkbox"/> Generalize for all Forms	Except for ..	No Exceptions	Only for ..	No Inclusions
<input type="checkbox"/> Generalize for all ItemGroups	Except for ..	No Exceptions	Only for ..	No Inclusions
<input type="checkbox"/> Generalize for all Items	Except for ..	No Exceptions	Only for ..	No Inclusions

ODM ItemDef Length: 1 SDTM Variable Length: 80

Set SDTM Variable Length to ODM ItemDef Length

View/Edit XPath expression (advanced)

OK Cancel

This time, we do NOT check the button "Set SDTM Variable Length to ODM ItemDef Length" as we will use a different codelist on the SDTM side. Do not forget to check the box "Generalize for all Study Events" if it was not automatically checked yet. Clicking "OK" leads to:

A CodeList is associated with ODM Item 'Severity'

The ODM ItemDef is ruled by a CodeList.
 You can either keep using the associated ODM CodeList,
 or take the current CodeList from the SDTM Variable (CL.C66769.AESEV),
 or select another CodeList from the define.xml structure.
 In the case a CodeList from the SDTM / define.xml is selected,
 a CodeList mapping will be necessary.
 In all applicable cases, a (new) CodeList reference will be added to the
 corresponding ItemDef in the define.xml structure.

Use CodeList from the SDTM Variable

Use Study CodeList (from ODM) - Coded Values

Use Study CodeList (from ODM) - Decoded Values

Use another CodeList (from define.xml)

Previous Next

CL.MEDDRA / MedDRA Adverse Events Dictionary
 CL.ISO3166 / Country Codes
 CL.C66742.NY.YESONLY / Yes Only Response
 CL.C66728.STENRF.FORTPT / STENRF codelist for --STRPT and --ENRTPT variables
 CL.C141657.TENMW1TC / 10-Meter Walk/Run Functional Test Test Code
 CL.C141656.TENMW1TN / 10-Meter Walk/Run Functional Test Test Name
 CL.C141663.A4STR1TC / 4-Stair Ascend Functional Test Test Code
 CL.C141662.A4STR1TN / 4-Stair Ascend Functional Test Test Name
 CL.C141661.D4STR1TC / 4-Stair Descend Functional Test Test Code
 CL.C141660.D4STR1TN / 4-Stair Descend Functional Test Test Name

Show CodeList details

OK Cancel

Where we select „Use CodeList from SDTM variable" (which is the CDISC-NCI codelist). Then clicking "OK" leads to:

ODM CodeList Item	SDTM CodeList Item	
1	MILD	<input type="button" value="Search"/>
2 Mild	MILD	<input type="button" value="Search"/>
3	MILD	<input type="button" value="Search"/>
4	MILD	<input type="button" value="Search"/>
MISSING VALUE	MILD	<input type="button" value="Search"/>

Generate subset codelist from selected SDTM items, and assign to the SDTM variable **AE.AESEV**
 Adapt variable Length for longest CodeList item
 Except for items already mapped
 Also use CDISC Synonym List
 Also use Company Synonym List
 Use SDTM *decoded* value
 Ask to store mappings as synonyms to Company Synonym List

which allows us to do the mapping between both the codelists.

As we know that "1" stands for "Mild", "2" for "Moderate" and "3" for "Severe", we just use the dropdowns leading to:

ODM CodeList Item	SDTM CodeList Item	
1	MILD	<input type="button" value="Search"/>
2	MODERATE	<input type="button" value="Search"/>
3	SEVERE	<input type="button" value="Search"/>
4	SEVERE	<input type="button" value="Search"/>
MISSING VALUE		<input type="button" value="Search"/>

where we map "life threatening" to "severe".

For "Missing" value, we just map to "blank" - this will give a null (empty) value in the later result. So we have:

The button "Attempt 1:1 mapping based on coded values" can be used in case of long codelists. The system will then try to compare both (based on word similarity as well for the coded as the decoded value) and come with a suitable proposal for the mappings. After clicking "OK", the "mapping editor" shows up and displays the result:

Mapping Description
SDTM-ETL mapping for AE.AESEV

The Transformation Script

```

# Mapping using ODM element ItemData with ItemOID IT.AESEV
# Generalized for all StudyEvents
# Using SDTM CodeList CL.AESEV
# Using a CodeList mapping between ODM CodeList CL.AESEV and SDTM CodeList CL.AESEV
$CODEDVALUE = xpath(/StudyEventData/FormData[@FormOID='FORM.AE']/ItemGroupData[@ItemGroupOID='IG.AE']/ItemData[@ItemOID='IT.AESEV']/CodeValue)
$NEWCODEDVALUE = ''
if ($CODEDVALUE == 1) {
  $NEWCODEDVALUE = 'MILD';
} elseif ($CODEDVALUE == 2) {
  $NEWCODEDVALUE = 'MODERATE';
} elseif ($CODEDVALUE == 3) {
  $NEWCODEDVALUE = 'SEVERE';
} elseif ($CODEDVALUE == 4) {
  $NEWCODEDVALUE = 'SEVERE';
} else {
  $NEWCODEDVALUE = '';
}
$AE.AESEV = $NEWCODEDVALUE;

```

So the system has automatically generated a mapping script – no need for typing!
Of course one can always edit/adapt the mapping script, but in this case, this is not necessary at all.

Also remark that comment lines (all starting with „#" have automatically been generated).

The next SDTM variable on our list is AE.AESER ("Is this a serious event?"). The possible values are "Y" (Yes) and "N" (No). We see that there is no corresponding item in the ODM, so we will (arbitrarily) add "N" values for mild and moderate adverse events, and "Y" for severe and life threatening adverse events.

Drag-and-drop from IT.AESEV (ItemDef: Severity) to the SDTM cell AE.AESER. Check again to generalize for all visits (StudyEvents). We get:

A CodeList is associated with ODM Item 'Severity'

? The ODM ItemDef is ruled by a CodeList.
You can either keep using the associated ODM CodeList, or take the current CodeList from the SDTM Variable (CL.C66742.NY), or select another CodeList from the define.xml structure.
In the case a CodeList from the SDTM / define.xml is selected, a CodeList mapping will be necessary.
In all applicable cases, a (new) CodeList reference will be added to the corresponding ItemDef in the define.xml structure.

Use CodeList from the SDTM Variable
 Use Study CodeList (from ODM) - Coded Values
 Use Study CodeList (from ODM) - Decoded Values
 Use another CodeList (from define.xml)

Previous Next

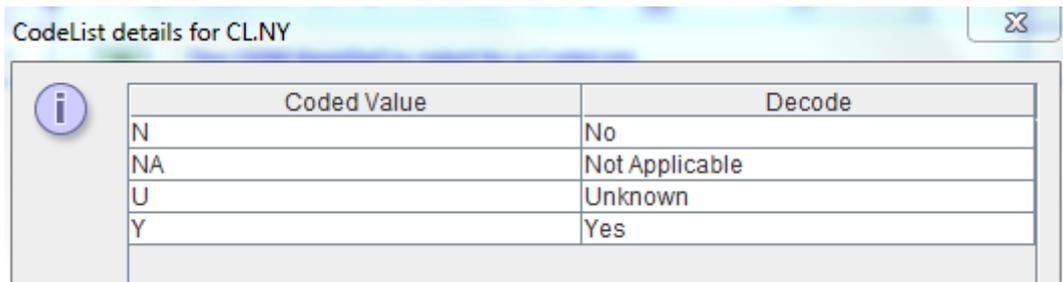
- CL.MEDDRA / MedDRA Adverse Events Dictionary
- CL.ISO3166 / Country Codes
- CL.C66742.NY.YEONLY / Yes Only Response
- CL.C66728.STENRF.FORTPT / STENRF codelist for --STRPT and --ENRPT variables
- CL.C141657.TENMW1TC / 10-Meter Walk/Run Functional Test Test Code
- CL.C141656.TENMW1TN / 10-Meter Walk/Run Functional Test Test Name
- CL.C141663.A4STR1TC / 4-Stair Ascend Functional Test Test Code
- CL.C141662.A4STR1TN / 4-Stair Ascend Functional Test Test Name
- CL.C141661.D4STR1TC / 4-Stair Descend Functional Test Test Code
- CL.C141660.D4STR1TN / 4-Stair Descend Functional Test Test Name

Show CodeList details

OK Cancel

We better choose the codelist from the SDTM Variable. Check the radiobutton and then click the

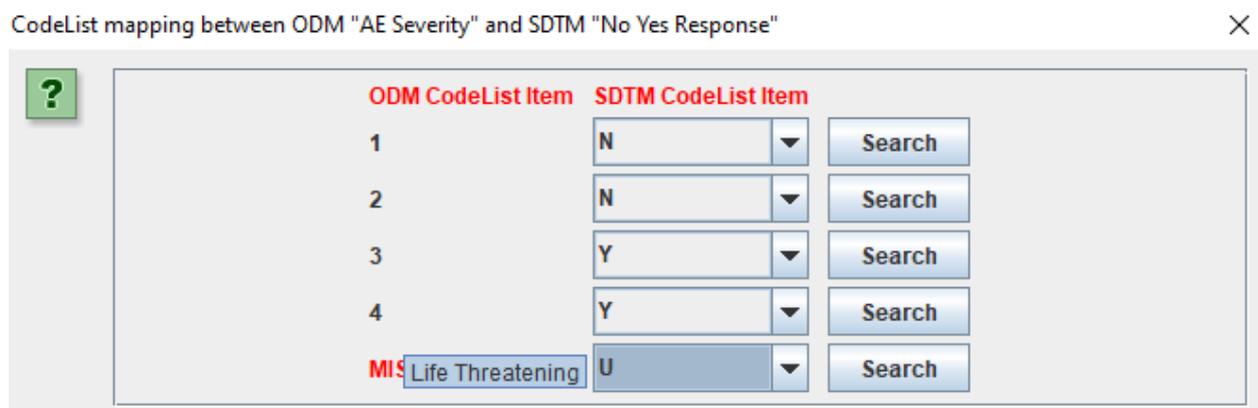
button "Show Codelist Details":



Coded Value	Decode
N	No
NA	Not Applicable
U	Unknown
Y	Yes

Remark that dependent on which version of CDISC-CT you have chosen when loading the template, you might see slightly different things here.

Again, the codelist mapping tool is then displayed. We map to:



ODM CodeList Item	SDTM CodeList Item	Search
1	N	Search
2	N	Search
3	Y	Search
4	Y	Search
MIS Life Threatening	U	Search

Which leads to the automatically created mapping script:

```
The Transformation Script
# Mapping using ODM element ItemData with ItemOID IT.AESEV
# Generalized for all StudyEvents
# Using SDTM CodeList CL.NY
# Using a CodeList mapping between ODM CodeList CL.AESEV and SDTM CodeList CL.NY
$CODEDVALUE = xpath(/StudyEventData/FormData[@FormOID='FORM.AE']/ItemGroupData[@ItemGroupOID='IG.AE']/ItemData[@ItemOID='IT.AESEV']/CodedValue);
$NEWCODEDVALUE = '';
if ($CODEDVALUE == 1) {
    $NEWCODEDVALUE = 'N';
} elseif ($CODEDVALUE == 2) {
    $NEWCODEDVALUE = 'N';
} elseif ($CODEDVALUE == 3) {
    $NEWCODEDVALUE = 'Y';
} elseif ($CODEDVALUE == 4) {
    $NEWCODEDVALUE = 'Y';
} else {
    $NEWCODEDVALUE = 'U';
}
$AE.AESER = $NEWCODEDVALUE;
```

Also remark the automatically created comment line, allowing us later to remember how the

mapping script was generated⁸.

In case such an arbitrary decision is made, it is surely not a bad habit to explain that decision in the "Comments" field of the define.xml. You can do so using the menu "Edit => SDTM Variable Properties", and add your comment in the field "Comment":

Current Origin:	NONE DEFINED YET
<input type="checkbox"/> New Origin:	<div style="text-align: right;">Edit</div>
Comment:	Severity "mild" en "moderate" mapped to "N", "severe" and "life threatening" to "Y"

For a regulatory submission, define.xml 2.0 also requires that the "Origin" is provided, either on the variable level or on the valuelist level. We can easily add it using the "New Origin" checkbox followed by "Edit":

Designing/Updating Origin for Item: AESER ✕

?

Origin type:

- Assigned
- Protocol
- Derived**
- Electronic Data Transfer
- CRF

Document (leaf) ID:

LF.aCRF ▼

- No page details
- Page list (physical reference)
- Named destinations

Page list / List of named destinations

- Page range: first page - last page

First page:

Last page:

and in this case set it to "Derived"⁹.

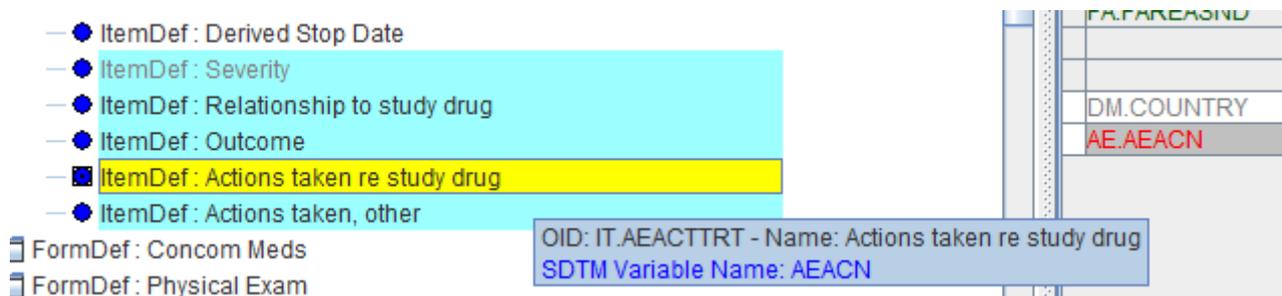
Note: when having finished mapping a domain / dataset, it is surely a good idea to check whether each variable has been assigned an "Origin". The absence of it is NOT marked as an error even when using Pinnacle21¹⁰, as the latter cannot know whether the context of the define.xml is a regulatory submission.

⁸And which can also be read by the FDA reviewer when inspecting the "Methods" in the submitted define.xml file.

⁹ In the case of Define-XML 2.1, you will see a slightly different dialog.

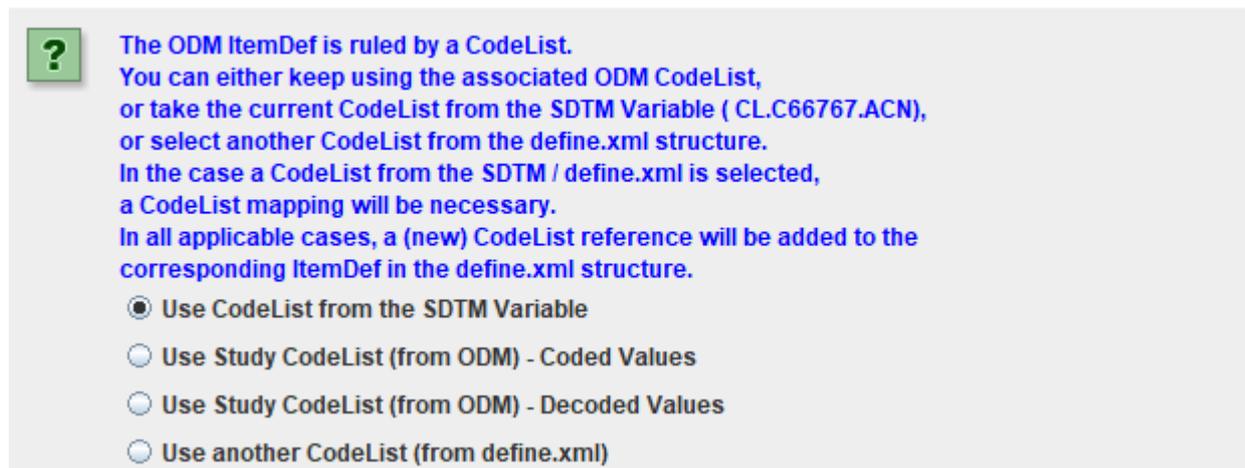
¹⁰ This will be possible using the long expected CORE (CDISC Open Rules Engine), in combination with Define-XML 2.1

The next SDTM variable is AE.AEACN (Action taken with study treatment). When clicking the cell, we see that there is an ODM "hot candidate" "Actions take re study drug". Alternatively, we can search for a "hot candidate" on the ODM side using the menu "Navigate – Find hot SDTM Candidate". We find:

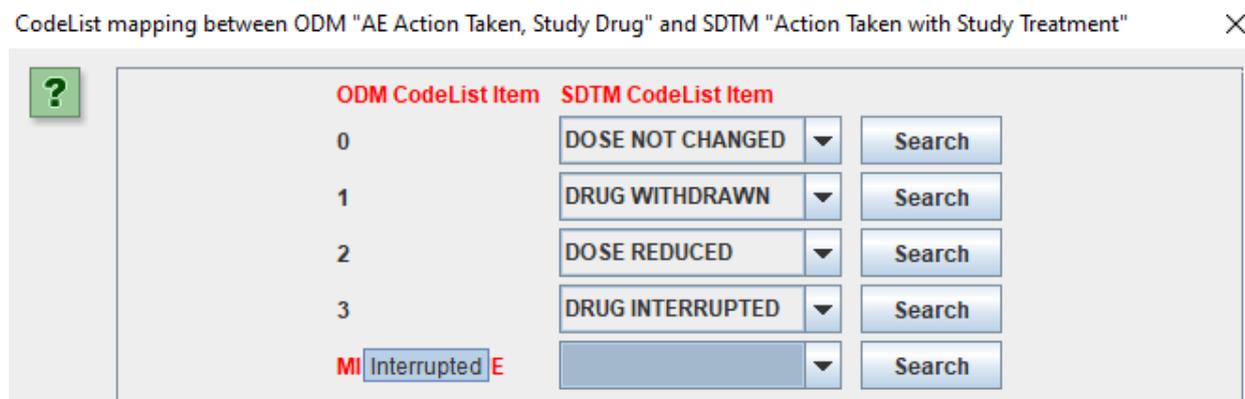


Drag-and-drop, and generalizing for all StudyEvents gives us the dialog asking us which codelist to use. Again we can use the already associated SDTM codelist:

A CodeList is associated with ODM Item 'Actions taken re study drug'



Clicking "OK" gives us the codelist mapping wizard, which easily leads us to the following mapping:



and again, the mapping script is automatically generated:

```

The Transformation Script
# Mapping using ODM element ItemData with ItemOID IT.AEACTTRT
# Generalized for all StudyEvents
# Using SDTM CodeList CL.ACN
# Using a CodeList mapping between ODM CodeList CL.AEACTTR and SDTM CodeList CL.ACN
$CODEDVALUE = xpath(/StudyEventData/FormData[@FormOID='FORM.AE']/ItemGroupData[@ItemGroupOID='IG.AE']/Item
$NEWCODEDVALUE = '';
if ($CODEDVALUE == '0') {
  $NEWCODEDVALUE = 'DOSE NOT CHANGED';
} elseif ($CODEDVALUE == '1') {
  $NEWCODEDVALUE = 'DRUG WITHDRAWN';
} elseif ($CODEDVALUE == '2') {
  $NEWCODEDVALUE = 'DOSE REDUCED';
} elseif ($CODEDVALUE == '3') {
  $NEWCODEDVALUE = 'DRUG INTERRUPTED';
} else {
  $NEWCODEDVALUE = 'UNKNOWN';
}
$AE.AEACN = $NEWCODEDVALUE;

```

At this point, let us try to execute the full mapping that we have so far on a set of clinical data, just as a check that we are doing the right things. For this, use the menu "Transform - Generate Transformation (XSLT) Code for CDISC Dataset-XML" (alternatively you can also use „Generate Transformation (XSLT) Code for SAS-XPT") followed by "Execute Transformation (XSLT) Code":

Execute Transformation (XSLT) Code for CDISC Dataset-XML

ODM file with clinical data:
 D:\SDTM-ETL\TestFiles\ODM1-3MyStudy_ODM_1_3.xml Browse...

MetaData in separate ODM file
 D:\SDTM-ETL\TestFiles\ODM1-3MyStudy_ODM_1_3.xml Browse...

Administrative data in separate ODM file
 D:\SDTM-ETL\TestFiles\ODM1-3MyStudy_ODM_1_3.xml Browse...

Dataset-XML Output Files Directory (SDTM/SEND Results):
 D:\temp Browse...

Perform post-processing for assigning --LOBXFL

Move non-standard SDTM Variables to SUPP-- Move Comment Variables to Comments (CO) Domain

Move Relrec Variables to Related Records (RELREC) domain Try to generate 1:N RELREC Relationships

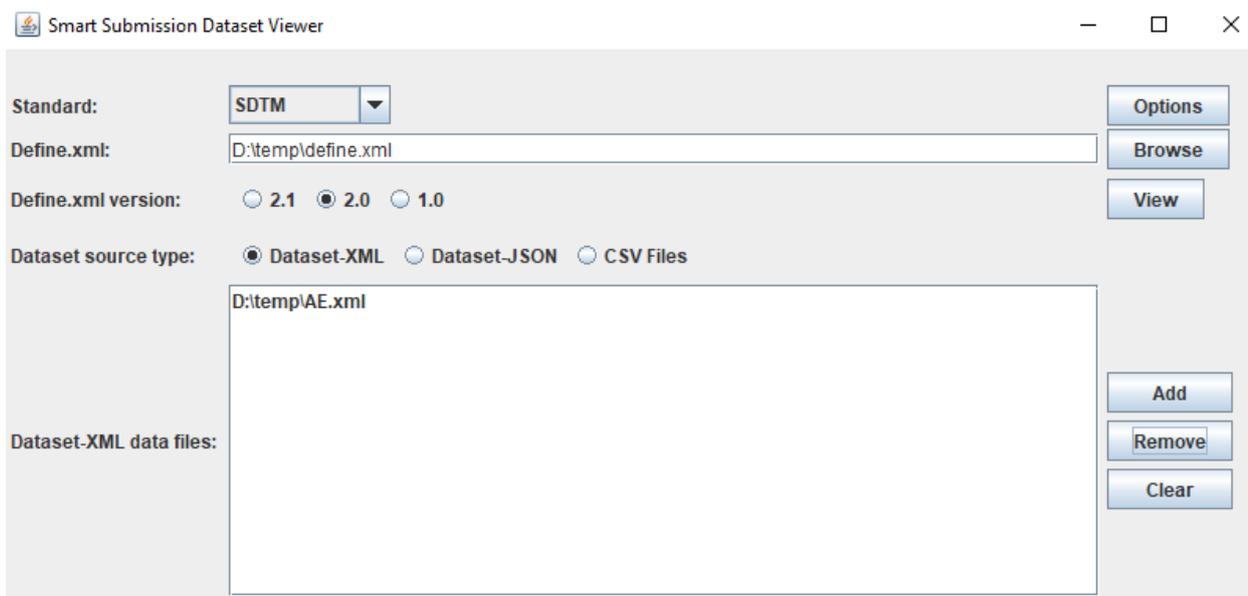
View Results in Smart Submission Dataset Viewer ← Adapt Variable Length for longest result value

Generate 'NOT DONE' records for QS datasets

Add location of Dataset-XML files to define.xml

Note that there is no checkbox „Split records > 200 characters to SUPP-- records" as this is not necessary anymore when using Dataset-XML instead of SAS-XPT. We select a file with clinical data. As we want to inspect the results, we check the checkbox "View Results in Smart Submission Dataset Viewer".

Then click "Execute Transformation on Clinical Data". The mapping scripts are executed and soon the "Smart Dataset-XML Viewer" shows up:

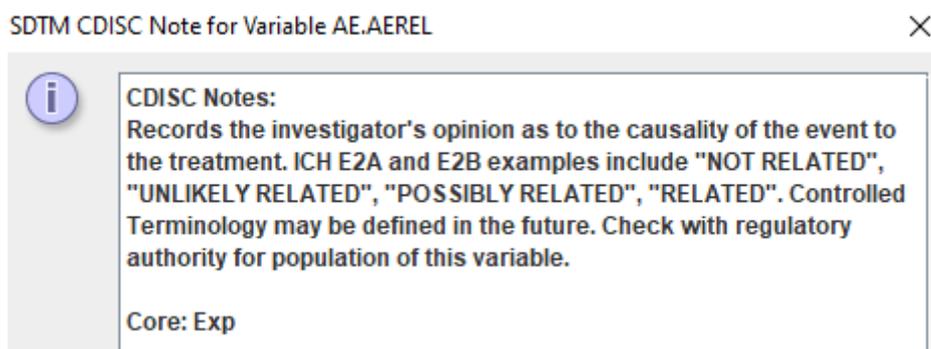


leading to:

AE							
STUDYID	DOMAIN	USUBJID	AESQ	AETERM	AESV	AESR	AEACN
MyStudy	AE	001	1	HEADACHE		U	DOSE NOT CHANGED
MyStudy	AE	001	2	CONGESTION	MILD	N	DOSE NOT CHANGED
MyStudy	AE	001	3	TOOTHACHE	MILD	N	DOSE NOT CHANGED
MyStudy	AE	002	1	HEARTH FAILURE	SEVERE	Y	DOSE NOT CHANGED
MyStudy	AE	004	1	MYOCARDIAL ISCHAEMIA	MODERATE	N	DRUG WITHDRAWN
MyStudy	AE	008	1	GASTROENTERITIS	MILD	N	DOSE REDUCED

There are a few records only, but the results seem to be OK.

The next SDTM variable we can tackle is AE.AREL (Causality). The SDTM-IG states that there currently is no controlled terminology for this variable. For AREL there is once again an ODM "hot candidate" (Relationship to study drug), so this is an easy mapping. Getting up the "CDISC Notes" (using CTRL-H) provides:



Just for the exercise, we will this time use the ODM values, but as these are coded (coded values 0-3) we want to use the ODM decoded values ("None", "Unlikely", "Possible", "Probable"). So in the dialog, we choose for "Use Study CodeList (from ODM) – Decoded Values":

A CodeList is associated with ODM Item 'Relationship to study drug'

? The ODM ItemDef is ruled by a CodeList.
You can either keep using the associated ODM CodeList,
or select another CodeList from the define.xml structure.
In the case a CodeList from the SDTM / define.xml is selected,
a CodeList mapping will be necessary.
In all applicable cases, a (new) CodeList reference will be added to the
corresponding ItemDef in the define.xml structure.

Use Study CodeList (from ODM) - Coded Values

Use Study CodeList (from ODM) - Decoded Values

Use another CodeList (from define.xml)

Previous

CL.MEDDRA / MedDRA Adverse Events Dictionary

As our ODM has decoded values for different languages, the system now asks us for which language we want to retrieve the decoded values:

? Select the Decode Language

en

en

de

fr

We choose "English" ("en"). Again, the mapping script is automatically created:

leading to the following mapping script:

```
The Transformation Script
# Mapping using ODM element ItemData with ItemOID IT.AEREL
# Generalized for all StudyEvents
# Generalized for all StudyEvents
# Using decoded values from ODM CodeList CL.AEREL
$CODEDVALUE = xpath(/StudyEventData/FormData[@FormOID='FORM.AE']/ItemGroupData[@ItemGroupOID='IG.AE']/ItemData[@ItemOID='IT.AEREL']/CodeListValue/@CodeListValue)
$TEMP = "";
if ($CODEDVALUE == '0') {
    $TEMP = 'None';
} elseif ($CODEDVALUE == '1') {
    $TEMP = 'Unlikely';
} elseif ($CODEDVALUE == '2') {
    $TEMP = 'Possible';
} elseif ($CODEDVALUE == '3') {
    $TEMP = 'Probable';
} else {
    $TEMP = '';
}
$AE.AEREL = $TEMP;
```

Now do the same for the SDTM variable AE.AEACNOTH ("Other action taken"). It can be mapped to ItemDef "Actions Taken – Other". Once again, use decoded values from the ODM codelist.

For the SDTM variable AE.AEOUT, there is again an ODM "hot candidate" (ItemDef: Outcome).

There is an ODM codelist associated, and at the SDTM side, there is already an associated codelist CL.OUT. The usual process leads us to the codelist mapping wizard:

CodeList mapping between ODM "AE Outcome" and SDTM "Outcome of Event" ✕

?

ODM CodeList Item
SDTM CodeList Item

1

FATAL

▼

Search

2

Resolved, no residual effects

▼

Search

3

FATAL

▼

Search

4

FATAL

▼

Search

MISSING VALUE

FATAL

▼

Search

and the automatically created mapping script:

```

The Transformation Script
# Mapping using ODM element ItemData with ItemOID IT.AEOUT
# Generalized for all StudyEvents
# Using SDTM CodeList CL.OUT
# Using a CodeList mapping between ODM CodeList CL.AEOUT and SDTM CodeList CL.OUT
$CODEDVALUE = xpath(/StudyEventData/FormData[@FormOID='FORM.AE']/ItemGroupData[@ItemGroupOID='IG.AE']/ItemData[@ItemOID='IT.AEOUT']/CodeListCodeValue);
$NEWCODEDVALUE = '';
if ($CODEDVALUE == '1') {
    $NEWCODEDVALUE = 'RECOVERED/RESOLVED';
} elseif ($CODEDVALUE == '2') {
    $NEWCODEDVALUE = 'NOT RECOVERED/NOT RESOLVED';
} elseif ($CODEDVALUE == '3') {
    $NEWCODEDVALUE = 'RECOVERED/RESOLVED WITH SEQUELAE';
} elseif ($CODEDVALUE == '4') {
    $NEWCODEDVALUE = 'FATAL';
} else {
    $NEWCODEDVALUE = 'UNKNOWN';
}
$AE.AEOUT = $NEWCODEDVALUE;
    
```

You can now also easily make similar mappings for the following SDTM variables: AE.AESDTH (Results in Death), AE.AEHOSP (Hospitalization), AE.AESLIFE (Life Threatening), and AE.AECONTRT (Concomitant or additional treatment given). In each case, the associated codelist is CL.NY.

Some hints for the ODM items that can be used and the ODM and SDTM codelists involved, are given in the following table:

<i>SDTM Variable</i>	<i>ODM Item</i>	<i>ODM Codelist</i>	<i>Define.xml codelist</i>
AESDTH (Results in Death)	Outcome (IT.AEOUT)	CL.AEOUT	CL.NY
AEHOSP (Requires or Prolongs Hospitalization)	Actions taken, other (IT.AECONTRT)	CL.AECONTRT	CL.NY
AESLIFE	Severity (IT.AESEV)	CL.AESEV	CL.NY

<i>SDTM Variable</i>	<i>ODM Item</i>	<i>ODM Codelist</i>	<i>Define.xml codelist</i>
(Is Life Threatening)			
AECONTRT (Concomitant or Additional Trtmnt Given)	Actions taken, other (IT.AECONTRT)	CL.AECONTRT	CL.NY

For example, for AECONTRT (Concomitant or Additional Trtmnt Given) the mapping to the ODM "Actions taken, other", can look like:

CodeList mapping between ODM "AE Action Taken, Other" and SDTM "No Yes Response" ✕

?

ODM CodeList Item

SDTM CodeList Item

0	<input type="text" value="N"/>	<input type="button" value="Search"/>
1	<input type="text" value="Y"/>	<input type="button" value="Search"/>
2	<input type="text" value="Medication required"/>	<input type="button" value="Search"/>
3	<input type="text" value="N"/>	<input type="button" value="Search"/>
MISSING VALUE	<input type="text" value="U"/>	<input type="button" value="Search"/>

Note that it depends on the structure and content of your CRF whether absence of additional treatment should be mapped to either „N" or null for AECONTRT.

Executing the already available mappings using the CDISC Dataset-XML format then leads to:

STUDYID	DOMAIN	USUBJID	AESQ	AETERM	AESEV	AESER	AEACN	AEACNOTH	AEREL	AEBOUT	AESDTH	AESHOSP	AESLIFE	AECONTRT
MyStudy	AE	001	1	HEADACHE		U	DOSE NOT CHAN...	Medication re...	None	RECOVERED/RESOLVED	N	N		Y
MyStudy	AE	001	2	CONGESTION	MILD	N	DOSE NOT CHAN...	Medication re...	None	NOT RECOVERED/NOT RESOLVED	N	N	N	Y
MyStudy	AE	001	3	TOOTHACHE	MILD	N	DOSE NOT CHAN...	Medication re...	None	NOT RECOVERED/NOT RESOLVED	N	N	N	Y
MyStudy	AE	002	1	HEARTH FAILURE	SEVERE	Y	DOSE NOT CHAN...	Medication re...	None	RECOVERED/RESOLVED	N	N	Y	Y
MyStudy	AE	004	1	MYOCARDIAL ISCHAE...	MODERATE	N	DRUG WITHDRA...	Hospitalizatio...	Probable	NOT RECOVERED/NOT RESOLVED	N	Y	N	N
MyStudy	AE	008	1	GASTROENTERITIS	MILD	N	DOSE REDUCED	None	Possible	NOT RECOVERED/NOT RESOLVED	N	N	N	N

Timing variables

The SDTM variables AESTDTC (AE start date), AEENDTC (AE end date), AESTDY (Study day of start AE), AEENDY (Study day of end AE), and AEDUR (AE duration) require somewhat more attention. For the first two, the information is available in the ODM ("Derived Start Date"¹¹ and "Derived Stop Date"). The next two need to be calculated using e.g. the date of the first visit as study day 1. The last (AE duration) should only be submitted (according to the SDTM-IG) in case it was collected as a duration, so it should not be derived. However, just for the exercise, we will calculate it anyway.

In SDTM, dates should be given in ISO-8601 format (YYYY-MM-DD). If we look however at the ODM clinical data for e.g. "Derived Start Date", we see that these are not in the required ISO-8601 format:

¹¹It is named „derived" as it comes from the combination of „start year" (Item OID IT.AESTYR), „start month" (IT.AESTMON) and „start day" (IT.AESTDAY)

View Clinical Data: Item OID: IT.AESTDT - Name: Derived Start Date

File with ODM Clinical Data: D:\SDTM-ETL\TestFiles\ODM1-3\MyStudy_ODM_1_3.xml

Generalize for all Items
 Generalize for all ItemGroups
 Generalize for all Forms
 Generalize for all StudyEvents

Limit Results to first Results
 Filter subjects

ODM uses non-typed ItemData ODM uses TYPED ItemData

Include selected
 Exclude selected

Subject	StudyEvent	Form	ItemGroup	Item	Value
001	SE.VISIT1	FORM.AE	IG.AE	IT.AESTDT	20060410
001	SE.VISIT1	FORM.AE	IG.AE	IT.AESTDT	20060611
001	SE.VISIT1	FORM.AE	IG.AE	IT.AESTDT	20060611
002	SE.VISIT1	FORM.AE	IG.AE	IT.AESTDT	20060411
004	SE.VISIT1	FORM.AE	IG.AE	IT.AESTDT	20060422
008	SE.VISIT1	FORM.AE	IG.AE	IT.AESTDT	20060413

The ISO-format is YYYY-MM-DD, e.g. for the first date it should be: 2006-04-10.

However, due to the numerous script functions that we have available, it is pretty easy to make a transformation, after having performed drag-and-drop from the ODM item "Derived Start Date" to the SDTM cell AESTDTC. For example:

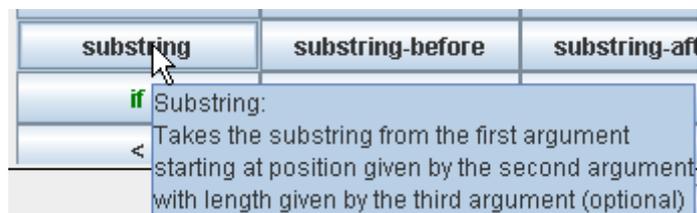
```

The Transformation Script
$TEMP = xpath(/StudyEventData/FormData[@FormOID='FORM.AE']/ItemGroupData[@ItemGroupOID='IG.AE']/ItemData
$YEAR = substring($TEMP,1,4);
$MONTH = substring($TEMP,5,2);
$DAY = substring($TEMP,7,2);
if( string-length($TEMP) > 0) {
  $AE.AESTDTC = concat($YEAR,'-', $MONTH,'-', $DAY);
} else {
  $AE.AESTDTC = '';
}
  
```

The first line retrieves the value from the ODM clinical data.

The second line takes the first four characters from the retrieved value, the third line the next two characters, and the fourth line the last two characters.

If you do not understand how the "substring" function works, just look for the button near the bottom of the screen, and hold the mouse over it. A tooltip shows up:

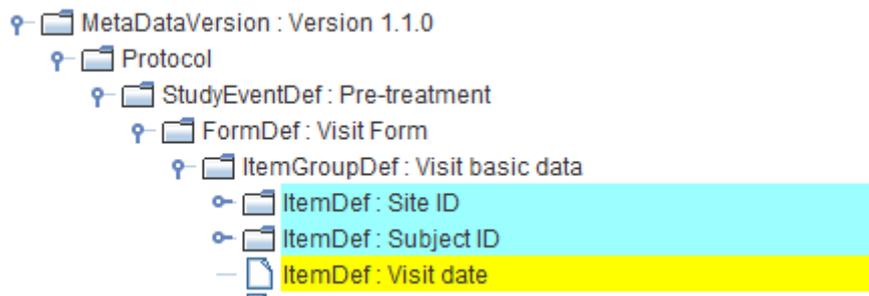


The fifth line in the script then sets up an if-else structure. In case there is a value for the date, it is reassembled from the individual parts using the dash as separator between year, month and day. The "else" part is necessary as otherwise we would get "--" in case there is a missing value for the date.

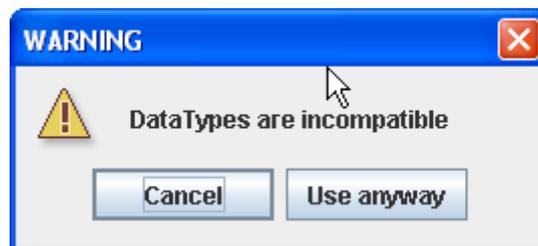
For the "Study day of AE start" (AE.AESTDY), we need to do some date handling. We will take the visit date of the first visit as study day 1 (see remark ¹²), and then calculate the value for

¹²In SDTM study days (relative to the reference start date) can either be positive values, or negative values, but **NEVER** 0 (FDA math ...). This always needs to be taken into account when calculating study days.

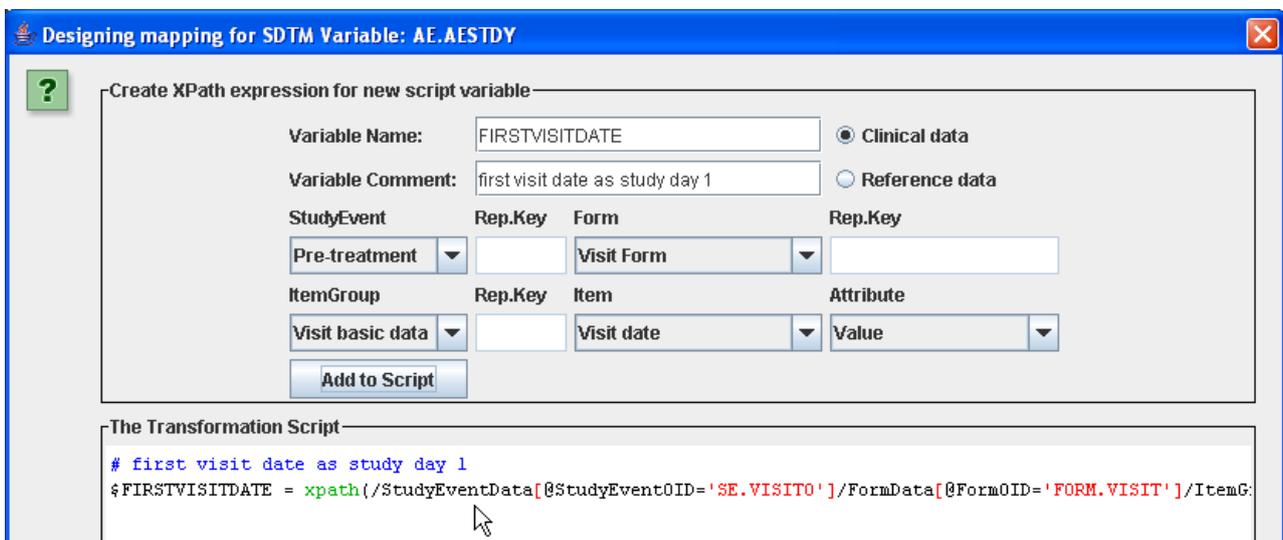
AE.AESTDY from this and the AE start date. For the former, we can use drag-and-drop from the ODM, this although the datatypes of source and target do not match (red traffic light).



We then get the following warning message:



In this case, we should have the checkbox "Generalize for all StudyEvents" unchecked! We can however also easily use the mapping selectors in the mapping editor:



So we defined the first visit date as our reference day.

Now we define the AE start date, **using the already defined variable \$AE.AESTDTC**. This may look strange at first, but we may always use previously defined (i.e. in a cell before the current one in the same row) scripting variable in "read" mode. So we write:

The Transformation Script

```
# calculate from first visit date and start of AE start date
$FIRSTVISITDATE = xpath(/StudyEventData[@StudyEventOID='SE.VISIT0']/FormData[@FormOID='FORM.VISIT']/ItemG
print 'FIRSTVISITDATE = ' $FIRSTVISITDATE;
# For the AE start date, we use the already defined variable !
$AESTARTDATE = $AE.AESTDTC;
print 'AESTARTDATE = ' $AESTARTDATE;
```

Also remark that we added some "print" statements for testing.

We know that both dates are in ISO-8601 format, so we can now use the "datediff" function to calculate the number of days between both dates, and from that, retrieve the study day of AE start:

The Transformation Script

```
print 'AESTARTDATE = ' $AESTARTDATE;
# calculate the difference in days
if($FIRSTVISITDATE != '' and $AESTARTDATE != '') {
    $DIFF = datediff($AESTARTDATE,$FIRSTVISITDATE);
    $AE.AESTDY = $DIFF + 1;
} else {
    $AE.AESTDY = '';
}
```

We need to take into account missing values for the AE start date. If we don't, the "datediff" function will fail and raise an exception (i.e. the execution of the script will crash).

Also we need to add 1 to the result, as the first study day is day 1, and not day 0.

The Transformation Script

```
print 'AESTARTDATE = ' $AESTARTDATE;
# calculate the difference in days
if($FIRSTVISITDATE != '' and $AESTARTDATE != '') {
    $DIFF = datediff($AESTARTDATE,$FIRSTVISITDATE);
    $AE.AESTDY = $DIFF + 1;
} else {
    $AE.AESTDY = '';
}
```

We can do similarly for the "Study day of AE end" (AE.AENDY):

The Transformation Script

```
# calculate from first visit date and current visit date
$FIRSTVISITDATE = xpath(/StudyEventData[@StudyEventOID='SE.VISIT0']/FormData[@FormOID='FORM.VISIT']/ItemG
# we use the value of $AE.AEENDTC, as it has already been defined
$AENDDATE = $AE.AEENDTC;
print 'First visit date = ' $FIRSTVISITDATE;
print 'AE end date = ' $AENDDATE;
# calculate the difference in days
$AE.AEENDY = '';
if($FIRSTVISITDATE != '' and $AENDDATE != '') {
    $DIFF = datediff($AENDDATE,$FIRSTVISITDATE);
    $AE.AEENDY = $DIFF + 1;
} else {
    $AE.AEENDY = '';
}
```

Here again, we reuse (but "read-only") \$AE.AEENDTC, for the calculation of the study day.

There is even a simpler way that we will learn about a bit later. We will need "reference start date" (from DM-RFSTDTC) and "reference end date" (from DM-RFENDTC) very often (in almost each domain), so we will create some "subject global variables" that we can then use over and over again in "read only" mode. For more details, see the tutorial „creating and working with global variables".

The last SDTM variable we want to provide a mapping for is AE.AEDUR (duration of the AE event). According to the SDTM-IG, it should only be submitted if it was collected as a duration on the CRF. However, for the sake of the exercise, we will derive it here.

As we do already have the start and end date, this is very easy, and we can use the datediff() function again. We only need to take missing values into account.

The script then looks like:

```

The Transformation Script

$START = $AE.AESTDTC;
$END = $AE.AEENDTC;
if(string-length($START) > 0 and string-length($END) > 0) {
    $DIFF = datediff($AE.AEENDTC,$AE.AESTDTC);
} else {
    $DIFF = '';
}
if($DIFF != '') {
    $AE.AEDUR = concat('P',$DIFF,'D');
} else {
    $AE.AEDUR = '';
}

```

The three last lines take care that the date difference (in days) is put into ISO-8601 "duration" format.

Now, let us once again execute the mapping on a file with clinical data. We again use the menu "Transform -> Generate Transformation Code for CDISC Dataset-XML -> Execute Transformation Code". The result as inspected using the „Smart Dataset-XML Viewer" is:

STUDYID	DOMAIN	USUBJID	ASEQ	AETERM	AEEV	AESER	AEACN	AEACNOTH	AEREL	AEOUT	AESDTH	AESHOSP	AESLIFE	AECONTRT	AESTDTC
MyStudy	AE	001	1	HEADACHE		U	DOSE NOT...	Medication ...	None	RECOVER...	N	N		Y	2006-04-10
MyStudy	AE	001	2	CONGESTI...	MILD	N	DOSE NOT...	Medication ...	None	NOT RECO...	N	N		Y	2006-06-11
MyStudy	AE	001	3	TOOTHAC...	MILD	N	DOSE NOT...	Medication ...	None	NOT RECO...	N	N		Y	2006-06-11
MyStudy	AE	002	1	HEARTH F...	SEVERE	Y	DOSE NOT...	Medication ...	None	RECOVER...	N	N	Y	Y	2006-04-11
MyStudy	AE	004	1	MYOCARDI...	MODERATE	N	DRUG WIT...	Hospitaliza...	Probable	NOT RECO...	N	Y	N	N	2006-04-22
MyStudy	AE	008	1	GASTROE...	MILD	N	DOSE RED...	None	Possible	NOT RECO...	N	N	N	N	2006-04-13

Remark again that AEDUR should normally not be calculated during the mapping, but only be provided when it was collected on the CRF.

We did calculate it here just for the sake of the exercise.